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**PATENT** Docket No. 5000-5109

Express Mail Label No. EV 357801174 US

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

### UTILITY APPLICATION AND APPLICATION FEE TRANSMITTAL (1.53(b))

Yoshifumi KATO and Kazuto NORITAKE

Serial No	:	To Be Assigned						
Filed	:	Concurrently Herewith						
For	:	LIGHTING SYSTEM AND DISPLAY						
Mail Stop Patent COMMISSIONF PO Box 1450 Alexandria, Virg	ER FOR I	PATENTS						
Sir:								
Transmitted here	with for	filing is the patent application of:						
Named Inventor(s) and Address(es):		Yoshifumi KATO						
		c/o: KABUSHIKI KAISHA TOYOTA JIDOSHOKKI						
		2-1, Toyoda-cho, Kariya-shi, Aichi-ken, Japan						
For:		LIGHTING SYSTEM AND DISPLAY						
Named Inventor(s) and Address(es):		Kazuto NORITAKE						
		c/o: KABUSHIKI KAISHA TOYOTA JIDOSHOKKI						
		2-1, Toyoda-cho, Kariya-shi, Aichi-ken, Japan						
For:		LIGHTING SYSTEM AND DISPLAY						
Enclosed are:								
[X] <u>16</u> p	page(s) of	specification,1 page(s) of Abstract,4 page(s) of claims						
[X] <u>3</u> s	heets of c	drawing [X] formal (Figs. 1-6) [ ] informal						
[X] <u>4</u> pa	age(s) of	Declaration and Power of Attorney						

Applicant(s)

		ewly Executed  opy from prior application						
[ ] Del	letion of	inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)						
[]	Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the combined declaration and power of attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.							
[]	Microfi	iche Computer Program (Appendix)						
[]	l	page(s) of Sequence Listing						
	[]	computer readable disk containing Sequence Listing Statement under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are the same						
[X]	Claim f	claim for Priority (Claim to Convention Priority) is made to Japanese Application No. 2002-197973 filed on July 5, 2002.						
[X]	Certified copy of the Priority Document No. 2002-197973 is enclosed herein.							
	[]	English translation documents						
[ X]	Information Disclosure Statement							
	[ X]	Copies of the cited references, including Form PTO-1449						
	[ ]	Copy of PTO-1449 filed in parent application serial No						
[]	Prelimi	nary Amendment						
[X]	Return	eturn receipt postcard (MPEP 503)						
[X]	Assignment Papers (assignment cover sheet and assignment documents)							
	[X]	A check in the amount of \$ 40.00 for recording the Assignment.						
	[]	Assignment papers filed in parent application Serial No						
	[]	Certification of chain of title pursuant to 37 C.F.R. § 3.73(b).						
[ ]	This is a [ ] continuation [ ] divisional [ ] continuation-in-part (C-I-P) of prior application serial no.							
	[]	Cancel in this application original claims of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)						
	[]	A preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application.						
[ ]	The status of the parent application is as follows:							

	A Petition For Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until											
	[ ] A copy of the Petition for Extension of Time in the co-pending parent application is attached.											
	[ ] No Petition For Extension of Time and Fee therefor are necessary in the co-pending parent application.											
[ ]	Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.											
[]	Amend the specification by inserting before the first line the sentence:  This is a [ ] continuation [ ] divisional [ ] continuation-in-part of co-pending application Serial No  filed											
	I.	CALCULAT	TION OF APPLIC	ATION FEE	(For Other Than	A Sma	ıll Entity)					
			Number Filed		Number Extra		Rate	Basic Fee \$750.00				
Total	<del></del>											
Claims	dent		20	-20 =	0		x \$ 18.00	\$0.00				
Claims			3	- 3 =	0		x \$ 84.00	\$0.00				
Multiple	e Depen	dent Claims	[ ] yes [X] no		Additional Fee Add'l Fee	= = 	\$ 280.00 NONE	\$0.00				
							Total:	<u>\$750.00</u>				
[]	A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. § 1.9(F) (50% of total) paid herewith \$											
[X]	A chec	k in the amou	nt of <u>\$ 750.00</u> in p	ayment of the	e application filin	g fees	is attached.					
[X]	A chec	k in the amou	nt of <u>\$40.00</u> in pay	ment of the	assignment record	ling fe	e is attached.					
[]	Charge Fee(s) to Deposit Account No. 13-4500. Order No A DUPLICATE COPY OF THIS SHEET IS ATTACHED.											
[X]	The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500, Order No. 5000-5109. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.											
Dated:	July 3,	2003			Respectfully su Steven F. Meye Registration No	2 F.	- May	9				
		ENCE ADDRE INEGAN, L.L.I			Tropionanion I to	55,0	* <del>*</del>					
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- 3 -

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Applicant(s)

Yoshifumi KATO and Kazuto NORITAKE

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LIGHTING SYSTEM AND DISPLAY

#### **EXPRESS MAIL CERTIFICATE**

Express Mail Label No.:

EV 357801174 US

Date of Deposit:

July 3, 2003

I hereby certify that the following attached paper(s) and/or fee

- 1. Utility Application and Fee Transmittal (in duplicate);
- 2. 16 pp. specification; 4 pp. claims; 1 pg. abstract;
- 3. 3 sheets of formal drawings (Figures 1-6);
- 4. Claim to Convention Priority, including priority document;
- 5. Assignment w/recordation cover sheet, including check in the amount of \$40.00
- 6. Information Disclosure Statement;
- 7. PTO Form 1449;
- 8. Three references;
- 9. A check in the amount of \$750.00 in payment of the filing fee; and
- 10. A return receipt postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Mail Stop Patent Application, Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313 1450.

Pauline A. Smith

(Typed or printed name of person mailing papers(s) and/or

fee)

Date: July 3, 2003

(Signature of person mailing paper(s) and/or fee)

Correspondence Address:

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